

APPENDIX 1: SOURCES OF AUDIT ASSURANCE FOR 2013/14

	Strength of Control Environment		
Areas Subject to Audit	Good	Adequate	Improvement Required
Governance and Assurance Arrangements	Health and Wellbeing Board (Jan 2014)	Data Quality of Performance Indicators (June 2014)	Evaluating Risks in Corporate Reports (June 2014)
Key Financial Systems (all issued May and June 2014)	Council Tax National Non-Domestic Rates Accounts Receivable, General Debtors Income Receipting and Banking Treasury Management Housing Rents	Housing Benefits General Ledger Accounts Payable: <ul style="list-style-type: none"> • General • Foster Carers' Payments, Adoption Allowances and Residence Orders 	Payroll
Service Delivery Risks	Disabled Facilities Grants, SBC Role (Jan 2014) Restructure of the Business Support Function in Dept. for Place (Mar 2014) Penetration Test of IT Systems (Oct 2013) Agresso Controls Summary (May 2014)	Disabled Facilities Grants, Home Improvement Agency Role (Jan 2014) Public Health Contract Management (Dec 2013) IT Disaster Recovery Planning (Mar 2014) Financial Reporting (June 2014)	Southend Adult Community College (May 2013) Parking Management Schemes (May 2014) Direct Payments, Mental Health Briefing Note (no opinion) (May 2014)

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	<p>Cash Receipting System Implementation (May 2014)</p> <p>Community Retrofit Through Sustainable Technology (May 2014)</p> <p>Pre-Employment Vetting Checks, Permanent Staff (Jun 2014)</p>	<p>Management of Employee Relations Cases (Aug 2013)</p> <p>South East Alliance of Landlords (SEAL) Briefing Note (no opinion) (May 2014)</p> <p>Pre-Employment Vetting Checks, Agency Staff (Jun 2014)</p>	
Schools Audits and Schools Related Assurance	<p>Bournes Green Junior School (Mar 2014)</p> <p>Earls Hall Junior School (Jun 2014)</p> <p>Hamstel Junior School (May 2014)</p> <p>Lancaster School (Mar 2014)</p> <p>Richmond Avenue Primary and Nursery School (March 2014)</p> <p>Temple Sutton Primary School (March 2014)</p> <p>West Leigh Infants School (Feb 2014)</p>	<p>Barons Court Infant School (Nov 2013)</p> <p>Bournemouth Park Primary School (Feb 2014)</p> <p>Eastwood Primary (Jan 2014)</p> <p>Porters Grange Primary (May 2014)</p> <p>Schools Thematic Review, Purchasing and Procurement (Oct 2013)</p>	<p>Cecil Jones School (critical friend interim review, no opinion) (May 2014)</p> <p>Edwards Hall Primary School (Jan 2014)</p> <p>Fairways Primary School (Jan 2014)</p> <p>Futures Community College (Jan 2014)</p>

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Grant Claims	Local Transport Plan (Sept 2013) Safer Modes of Transport for Elder Road Users (Oct 2013)	Troubles Families Programme, Payments by Results (July 2013, Oct 2013, Feb 2014 and May 2014)	
Audits revisited and action plans retested	Delivering the Savings (June 2013) Home to School Transport (Sept 2013) Agresso Software Application, Automated Controls Framework (Dec 2013)	Southend Adult Community College (Feb 2014) Chase High School (Jan 2014)	
Officer Working Groups attended by Internal Audit that also provide assurance		Good Governance Group School Support and Improvement Group	